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professional excellence

**INDIAN INSTITUTE OF BANKING & FINANCE**  
**Professional Development Centre – Eastern Zone (PDC-EZ), Kolkata**

**VIRTUAL TRAINING PROGRAMME ON**  
**Internal Audit for Effective Compliance**

**from 27<sup>th</sup> to 28<sup>th</sup> JANUARY 2025**

**Last Date to Apply : 22.01.2025**



IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes”. Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

**For More Detail & Nominations Please Contact:**

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**BACKGROUND OF THE PROGRAMME:** An independent and effective internal audit function in a financial entity provides vital assurance to the Board and its senior management regarding the quality and effectiveness of the entity’s internal control, risk management and governance framework. The essential requirements for a robust internal audit function include, inter alia, sufficient authority, proper stature, independence, adequate resources and professional competence. The range and commonality of risks faced by Supervised Entities (SEs) would warrant effective and harmonized systems and processes for the internal audit function

across the SEs based on certain common guiding principles. The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The programme will take the participants to different aspects of their functioning including the risk-based audit covering high value credit also, risk rating documents verifications and revenue leakage.

### **OBJECTIVES:**

- ✓ To Improve the knowledge and skill of the Audit Officers
- ✓ To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- ✓ To support in developing a cadre of professional internal auditor, they being the officials giving warning signal in advance and identifying the risks.

### **CONTENT OVERVIEW:**

- Relevance of Audit – Audit History, Traditional vs Risk based audit - Audit policy.
- Risk Based Internal Audit, Risk Based Credit Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Risk and compliance covering Operational Risk Management (ORM) and Credit Risk Management (CRM)
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund based credit facilities and forex business
- Role of Auditor in KYC/AML compliance.
- Audit as a tool for prevention of frauds.
- IT Governance and GRC- Audit automation.

**TARGET GROUP FOR THE PROGRAMME:** Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches.

*Open to both Members & Non Members of IIBF*

*Individuals may also register for this programme at their own cost.*

*Banks may send their nominations in bulk*

<p><b>Date &amp; Time:</b>  <b>27th to 28th</b>  <b>JANUARY</b>  <b>2025(10.00AM to</b>  <b>5.30PM)</b></p>	<p><b>METHODOLOGY:</b>  Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences <b>on ZOOM</b>. Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.</p>	<p><b>FEE:</b>  Rs. 4000/- per participant plus GST@18% /- aggregating to <b>Rs.4720/-</b>(In case of TDS deduction, please send us TDS certificate)</p>
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**CORRESPONDENCE ADDRESS:** Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2<sup>nd</sup> Floor, 59A, Jawaharlal Nehru Road, Near Ravindra Sadan Metro Station, Kolkata – 700020.

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**INDIAN INSTITUTE OF BANKING & FINANCE**  
**Professional Development Centre – Eastern Zone, Kolkata**  
**NOMINATION FORM**

**Programme Title:** Internal Audit for Effective Compliance

**Mode of Programme:** Virtual Mode **Date:** 27th to 28th JAN 2025

**Details of Nomination (to be filled by the Banks/FIs/Other Organizations):**

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
5					

Fees Paid Rs. \_\_\_\_\_ UTR/Transaction No. \_\_\_\_\_ Date of Payment: \_\_\_\_\_

Name of Sponsoring Bank / FI: \_\_\_\_\_ **GSTN of Bank/FI:** \_\_\_\_\_

Address of the Bank/FI: \_\_\_\_\_

Phone/Mob. No. \_\_\_\_\_ E-mail id.: \_\_\_\_\_

**FEE:** Rs. 4,000/- per participant plus GST @18% aggregating to Rs. 4,720/-. (In case of TDS deduction, please send us the TDS certificate). (Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)

**Programme fees may be remitted to the credit of Institute's Bank Account as below:**

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

**CONTACT DETAILS:**

Mr Tusharendra Barpanda Head – PDC-EZ, IIBF, Kolkata Mob. No.9717005551 Email Id. <a href="mailto:head-pdcez@iibf.org.in">head-pdcez@iibf.org.in</a>	Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email : <a href="mailto:je.pdcez3@iibf.org.in">je.pdcez3@iibf.org.in</a> Mob. No. 9831637175	Ms. Samriddhi Guha Jr. Executive, PDC-EZ, IIBF Email : <a href="mailto:je.pdcez2@iibf.org.in">je.pdcez2@iibf.org.in</a> Mob. No. 8420475917
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59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020



**INDIAN INSTITUTE OF BANKING & FINANCE**  
**Professional Development Centre – Eastern Zone, Kolkata**

**NOMINATION FORM FOR SELF-SPONSORED CANDIDATES**

**Programme Title** Internal Audit for Effective Compliance

**Mode of Programme:** Virtual Mode **Date:** 27th to 28th JAN 2025

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (PERSONAL)	UTR NUMBER
1						

Name of Bank/ FI employed with: \_\_\_\_\_

Address of Bank/ FI employed with: \_\_\_\_\_

**FEE:** Rs. 4,000/- per participant plus GST @18% aggregating to Rs. 4,720/-.

*Self- sponsored participants are requested to kindly contact the numbers given below before making the payment.*

**Programme fees may be remitted to the credit of Institute's Bank Account as below:**

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

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